

ACCOUNT APPLICATION

1. ACCOUNT REGISTRATION (Check only one type)

Individual (may not be a minor) **Joint*** (may not be a minor)

Owner's Name (First, Middle, Last) _____ Date of Birth _____

Social Security Number _____

Joint Owner's Name (First, Middle, Last) _____ Date of Birth _____

Social Security Number _____

*Joint Tenants with Rights of Survivorship, unless otherwise specified.

Uniform Gifts/Transfers to Minor's Account (UGMA, UTMA)

Custodian (one name only) _____ Date of Birth _____

Custodian's Social Security Number _____

As custodian for (one minor only) _____ Minor's Date of Birth _____

Minor's Social Security Number _____

Trust (Title and Signature Page required)

Name of Trustee _____

Social Security Number _____ Date of Birth _____

Name of Trust _____ Date of Trust _____

Tax Identification Number _____

Additional Trustee (if applicable) _____

Social Security Number _____ Date of Birth _____

Corporation or Other Entity (Organizational documentation required)

Corporation Partnership Other Entity

Name of Corporation, Partnership, Estate, etc. _____

Tax Identification Number _____

2. MAILING ADDRESS (Applications will only be accepted if they contain a U.S. or Puerto Rico permanent street address. P.O. boxes and/or foreign addresses are not acceptable.)

Owner's Mailing Address _____

City, State, Zip Code _____

Daytime Telephone _____ Evening Telephone _____

E-mail Address _____ Fax Number _____

2A. Joint Duplicate Confirmations Broker Information (Joint Owner's/Custodian's information required if different than provided in Section 2)

Name (First, Middle, Last) _____

Address _____

City, State, Zip Code _____

Daytime Telephone _____ Evening Telephone _____

E-mail Address _____ Fax Number _____

Broker Dealer _____ Rep Name _____ Rep # _____



Please make checks payable to: **The Oberweis Funds.**

The Fund does not accept investments from (i) individuals or entities without a U.S. Social Security Number/Tax Identification Number and a U.S. address or (ii) Foreign Financial Institutions as defined in the USA PATRIOT Act.

Please complete the application and mail in the postage paid envelope to:

**The Oberweis Funds
P.O. Box 711
Milwaukee, WI 53201-0711**

For assistance in completing this application, please call 1-800-245-7311.

3. EXEMPT ENTITIES

Certain entities (publicly traded companies, ERISA plans, banks or government agencies) are exempt from the new identification requirements. If you are completing the application for an exempt entity, please state below the exact nature of the exemption, and certify your statement by signature in Section 10.

Yes, the investing entity is exempt for the following reason(s): _____

4. YOUR INVESTMENT

Minimum Initial Investment \$1,000 (\$100 with an Automatic Investment Plan):

Purchase by check.

Purchase by wire. Call 1-800-245-7311 for instructions.

Oberweis Micro-Cap Fund \$ _____

Oberweis Emerging Growth Fund \$ _____

Oberweis Small-Cap Opportunities Fund \$ _____

Oberweis China Opportunities Fund \$ _____

Oberweis International Opportunities Fund \$ _____

Oberweis Asia Opportunities Fund \$ _____

5. DIVIDEND AND CAPITAL GAINS INSTRUCTIONS

All dividends will be reinvested automatically unless one of the following is checked.

Send all dividends and capital gains to the address in Section 2, or;

Send all dividends and capital gains to the bank account indicated in Section 9.

6. TELEPHONE TRANSACTIONS

As an Oberweis Funds shareholder*, you have the ability to conduct purchase, exchange and redemption transactions by telephone. **You must have telephone transaction privileges in order to conduct Internet transactions (Section 7).**

You will automatically be granted telephone exchange and redemption privileges unless you decline them by checking below. **If you decline, you will be required to submit a Medallion signature guaranteed letter of instruction signed by all registered account owners to add telephone transaction privileges in the future.**

I decline telephone exchange and redemption privileges. All requests to redeem shares from this account must be submitted in writing.

*Additional documentation is required for Corporations, Partnerships, or Other Entities.

7. INTERNET TRANSACTIONS

You can conduct purchase, exchange and redemption transactions on the Internet through our website by enrolling for online transaction privileges at www.oberweisfunds.com. **Please note that you must have telephone privileges (see Section 6) in order to sign up for online transactions. In addition, you must have ACH instructions on your account (see Section 9) in order to sign up for online purchases and have redemption proceeds sent to you via ACH and wire.**

You will have the ability to establish online transaction privileges unless you decline them by checking below. **If you decline, you will be required to submit a Medallion signature guaranteed letter of instruction signed by all registered account owners to add any online transaction privileges in the future.**

I decline online transaction privileges.

8. AUTOMATIC INVESTMENT PLAN

This option allows you to make automatic monthly or quarterly purchases into your Oberweis Funds account(s) directly from your bank account. To establish a new account with this program you must initially invest at least \$100 per account and subsequent investments must be at least \$100 per purchase for at least a one year period. **You must also include the bank information in Section 9. If more than one automatic purchase is desired, please attach on a separate page.**

Fund name _____ \$ _____
Amount (\$100 minimum)

Begin Investments (month, year) _____

*Choose one:

Monthly Quarterly

*Choose one:

5th 20th

*If no time frame or date is specified, investments will be made monthly on the 20th or on the first business day after if it falls on a weekend or holiday. Your first automatic investment will occur no sooner than 15 days after receipt of this application.

9. BANK INFORMATION (voided check required)

Complete this section if you would like to add funds to your account electronically (ACH) or have redemption proceeds sent to your bank electronically (wire or ACH).

Name of Bank _____

Address of Bank _____

City, State, Zip Code _____

Bank Phone Number _____

Name(s) on Bank Account _____

Bank Account Number _____

ABA Number (available from your bank) _____

This is a: **checking account** **savings account**
* (voided check required)

*If information on voided check differs from information on this application, the information from the voided check will be used.

10. ACKNOWLEDGMENT AND SIGNATURE

(All account owners/trustees must sign.)

- Federal law requires us to obtain, verify and record information that identifies each person who opens an account. Therefore, when you open an account, you will be asked for your name, address, date of birth and other information that will allow us to identify you. You may also be asked to show your driver's license or other identifying documents. If you are a Non-Resident Alien please indicate below.

Non-Resident Alien _____ Country _____

All accounts, including those for Non-Resident Aliens, must have a U.S. address.

By signing below:

- I certify that I have received and read the current Prospectus of the Fund in which I am investing and understand its terms are incorporated in this application by reference. I certify that I have the authority and legal capacity to make this purchase and that I am of legal age in my state of residence.
- I authorize The Oberweis Funds and its agents to act upon instructions (by phone, in writing or other means) believed to be genuine and in accordance with procedures described in the Prospectus for this account. I agree that neither The Oberweis Funds nor its transfer agent will be liable for any loss, cost or expense for acting on such instructions.
- I certify that I am not a Foreign Financial Institution as defined in the USA PATRIOT Act.

By completing Section 9 and signing below:

I authorize credits/debits to/from the bank account referenced in conjunction with the account options selected. I agree that The Oberweis Funds shall be fully protected in honoring any such transaction. I also agree that The Oberweis Funds may make additional attempts to credit/debit my account if the initial attempt fails and I will be liable for any associated costs. All account options selected (if any) shall become part of this application and the terms, representations and conditions thereof.

Under penalty of perjury, I certify that:

- The Social Security Number or Taxpayer Identification Number shown on this application is correct.**
- I am not subject to backup withholding because: (a) I am exempt from backup withholding; or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends; or (c) the IRS has notified me that I am no longer subject to backup withholding.**
- I am a U.S. person (including a U.S. resident alien).**

Cross out item 2 if you have been notified by the IRS that you are currently subject to backup withholding.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

(All owners/trustees must sign. For UGMA/UTMAs, custodian should sign.)

Signature of Individual Owner, Trustee or Custodian _____ Date _____

Signature of Joint Owner, Trustee or Custodian _____ Date _____
(if applicable)

Additional Owner's Signature (if applicable) _____ Date _____

Go paperless! You can receive shareholder reports (prospectus, annual reports, and semi-annual reports) and statements electronically. To enroll for this service, please visit www.oberweisfunds.com.